

## 276/277 Health Care Claim Status Request/ Response — Real-Time

This companion document is for informational purposes only to describe certain aspects and expectations regarding the transaction and is not a complete guide. The details contained in this document are supplemental and should be used in conjunction with the ASC X12 Standards for Electronic Data Interchange Technical Report Type 3 (TR3) as published by the Washington Publishing Company.

### **Section 1 – 276/277 Claim Status Request/Response: Basic Instructions**

### **Section 2 – 276/277 Claim Status Request/Response: Enveloping**

### **Section 3 – 276/277 Claim Status Request/Response: Charts for Situational Rules**

Any questions?

Contact E-Solutions

[www.empireblue.com/edi](http://www.empireblue.com/edi), LiveChat

## Section 1 - Basic Instructions

### 1.1 Council for Affordable Quality Health Care (CAQH)

CAQH is a non-profit alliance of health plans and trade associations focused on achievable, concrete initiatives designed to strengthen the nation's health care system and simplify health care administration. The CAQH CORE Phase I & II operating rules have been adopted by the Department of Health and Human Services as necessary business rules and guidelines for the electronic exchange of information. These operating rules are incorporated into this companion document.

### 1.2 Business Purpose

The purpose of generating a 276 Status Request is to obtain the current status of the claim within the adjudication process. This transaction includes information that is necessary for Empire to identify the specific claim in question. The following primary identifiers must be supplied:

- A)** Patient's First Name, in its entirety (10 characters): Loop 2100D, NM104 (if subscriber is the patient); Loop 2100E, NM104 (if dependent is the patient)
- B)** Billing Provider NPI Number submitted on the original claim: Loop 2100C, NM109
- C)** Member Identification Number: Loop 2100D, NM109; Loop 2100E, NM109 (if dependent has a unique identifier)
- D)** Claim Submitter Trace Number: Loop 2200D, TRN02; Loop 2200E, TRN02
- E)** Claim Number: Loop 2200D, REF02 (if subscriber is the patient); Loop 2200E, REF02 (if dependent is the patient)
- F)** Date(s) of Service: Loop 2200D, DTP03 (if subscriber is the patient); Loop 2200E, DTP03 (if dependent is the patient)
- G)** Claim Submitted Charges: Loop 2200D, AMT02 (if subscriber is the patient); Loop 2200E, AMT02 (if dependent is the patient)

### 1.3 HIPAA-Compliant Codes

When entering codes in the 276 claim status request, carefully follow the 276/277 TR3. Use HIPAA-Compliant codes from current versions of the sources listed in Appendix A: External Code Sources. Empire will accept all HIPAA standard codes, however, acceptance of these codes of modifiers will not alter covered benefits or current payment policies, guidelines or processes.

### 1.4 Claims without Dollar Amounts

A 277 Response on a member-payable claim, rejected claim, or approved claim without dollar amounts will contain a zero dollar amount in the data element, STC05 Claim Payment Amount (Loops 2200D, 2200E) and SVC03 Line Item Paid Amount (Loops 2220D, 2220E). Also, in Loops 2220D & 2220E, the following STC data elements will not be included:

- STC08 – Check Issue or EFT Effective Date
- STC09 – Check or EFT Trace Number

### 1.5 Delimiters

Empire only accepts the following delimiters as defined by the ANSI standards of the basic character set:

- Data Element Separator, Asterisk (\*)
- Repetition Separator (ISA11), Caret (^)
- Sub-Element Separator, Colon (:)
- Segment Terminator, Tilde (~)

**NOTE! Since the listed values are the only delimiters supported, the use of any other values will yield a file level rejection. Using values from the extended character set is not permitted without a mutual written agreement between Empire and trading partner.**

## 1.6 Adjusted and Voided Claims

A 277 Response will include the final image of an adjusted or voided claim but not the original claim.

## 1.7 Uppercase Letters

UniCare requests that all data be entered in UPPERCASE letters only.

## 1.8 Communication Protocol Specifications

- HTTPS Connectivity. HTTPS connectivity is available through the internet.
- HTTPS Setup Steps. Contact EDI Solutions to begin the process of getting setup for HTTPS.
  - 1) EDI Solutions will collect information about your organization.
  - 2) You will be assigned a User ID and Password.
  - 3) You will perform the necessary testing and then be promoted to production.
- Web Address. Below is the HTTPS URL address where an imbedded 276 message may be sent using the HTTPS protocol for a 277 response.

Real-Time URL: <https://www.edirealtime.com/edirealtimeph2/edirealtime>  
(single inquiry only)

**HTTPS Message Format.** Input parameters (see table, HTTPS and SOAP metadata) for real-time needs to be submitted on the 276 request data in order to receive a 277 response message.

**SOAP Message Format.** SOAP used the same field descriptions as HTTPS, but in SOAP format. You must request WSDL files for SOAP processing.

SOAP URL: <https://www.hipaaiaaswebservice.com/wsedirealtime/wsedirealtime>

HTTPS and SOAP Metadata (Real-Time)			
Field Name	Description	Format	Example
PayloadType	Specifies the type of payload included within a request.	Text	<b>X12_276_Request_005010X212</b>
ProcessingMode	Indicates Batch or Real-Time processing mode.	Text	<b>RealTime</b>
PayloadID	Identifies the request submitted.	Alphanumeric, may contain hyphen	
EncType	Form Data Type	multipart/form-data	
TimeStamp	Time and Date specifying when a message is created and sent to a receiver.	Universal Time (UTC) <a href="http://www.w3.org/TR/xmlschema11-2/#date/Time">http://www.w3.org/TR/xmlschema11-2/#date/Time</a>	<b>2010-02-22T15:15:52Z</b>
UserName	Is used to log into the account. A password will be associated with the User which allows a request to complete. Username is assigned.	6-12 characters; not case sensitive	
Password	Pairs with the <i>User</i> field to allow access to the eligibility request system. Password is assigned.	6-12 characters; case sensitive	
SenderID	Represents the Sender ID (ISA06) from the X12 file being submitted.	Alphanumeric	
ReceiverID	Represents the Receiver ID (ISA08) from the X12 file being submitted.	Alphanumeric	<b>303</b>
CORERuleVersion	Represents the CORE Rule version; can be used to maintain backward compatibility when parsing/processing messages.	Version number	<b>2.2.0</b>
Payload	Contains the file with the X12 request data.	HIPAA X12 Compliant	

## HTTPS Error Messages

The following are the different message responses and error notifications that may be received when submitting 276 requests.

### ■ HTTP 202 Ok.

When authorization is passed and interface is successful with eligibility systems, HTTP 202 Ok status code and the 277 response X12 data content will be returned by the application.

### ■ Authorization Errors.

If the username and/or password included in the request are not valid, HTTP 403 Forbidden error response with no data will be returned by the application.

### ■ Server Errors.

When the CAQH connectivity application is not able to process a real-time request due to interface failures or Eligibility system unavailability etc., standard 5xx series error such as HTTP 500 Internal Server Error or HTTP 503 Service will be returned by the application. In this scenario, the 276 request submitter will need to resubmit the request since the application process for 277 message reply failed.

## 1.9 Similar Claims Found

When the search criteria submitted (Member ID, Member First and Last Name, Dates of Service, Provider NPI and Total Charges) does not result in a match on the Claim Number (REF `1K`), but does find a series of other claims, a response will be generated with the similar claims. Loop 2200D Subscriber Level or Loop 2200E Dependent Level will be returned with the claim information that match the other search criteria.

## 1.10 Acknowledgements and/or Reports

Submitting a 276 transaction, you will receive only one of the following responses:

- TA1 (X12) when the ISA-IEA envelope cannot be processed;
- 999 when submitted 276 does not pass Level 2 HIPAA validation; or
- 277 is returned in all other cases to indicate the claim status.

### Sample TA1 File:

```
ISA*00*          *00*          *ZZ*RECEIVER          *ZZ*SENDER          *110531*1508**^*00501*000000001*0*T*:~
TA1*723010535*061024*1006*R*023~
TA1*723010535*061024*1006*R*001~
TA1*723010535*061024*1006*R*021~
TA1*723010535*061024*1006*R*009~
TA1*723010535*061024*1006*R*024~
IEA*0*000000001~
```

### Sample 999 File

```
ISA*00*          *00*          *ZZ*RECEIVER          *ZZ*SENDER          *110726*0702**^*00501*000003072*0*T*:~
GS*FA*RECEIVER*SENDER*20110726*070241*30720001*X*005010X231A1~
ST*999*0001*005010X231A1~
AK1*HR*71300027*005010X212~
AK2*276*071300027*005010X212~
IK3*NM1*4*2100*8~
IK4*8*66*I6*AD~
IK5*R*5~
AK9*R*1*1*0~
SE*8*0001~
GE*1*1~
IEA*1*000000001~
```

### 1.11 System Hours of Availability

As a CORE-certified health plan, Empire follows the guidelines as set forth under Section 1 of the CAQH CORE System Availability Rule. Regularly scheduled system downtime/maintenance will be reserved for Sundays and the following holidays:

- New Years Day (01/01/CCYY)
- Memorial Day (Last Monday in May)
- Independence Day (07/04/CCYY)
- Labor Day (First Monday in September)
- Thanksgiving Day (Fourth Thursday in November)
- Christmas Day (12/25/CCYY)

### 1.12 Standardized Claims Responses

For the following situations, a standardized STC response will be generated. Note that additional claim status codes may provide future specificity in STC10 and STC11.

Standardized Claim Responses	
Description	STC Response
Claim Not Found	A4^35
Subscriber Not Found	E0^33
Patient Not Found (generic)	E0^97
Claim rejected due to no membership—finalized status	F2^33
Claim rejected due to coverage termination—finalized status	F2^27
	F2^108^IL
Claim rejected due to coverage termination—pending status	P1^27
	P1^108^IL
Claim Rejected for Requested Medical Records—finalized status	F2^317
Claim Pending for Requested Medical Records—pending status	P3^317
Claim Rejected for Medical Records but no request has been issued at the time a 276 was received—finalized status	F2^317
Claim Pending for Medical Records but no request has been issued at the time a 276 was received—pending status	P1^317
Claim rejected for Requested COB Information—finalized status	F2^52
	F2^57
	F2^286
Claim pending for Requested COB Information—pending status	P3^52
	P3^57
	P3^286
Claim rejected for COB Information but a request has not been issued at the time a 276 was received—finalized status	F2^52
	F2^57
	F2^286
Claim pending for COB Information but a request has not been issued at the time a 276 was received—pending status	P1^52
	P1^57
	P1^286
*NOTE: These responses are standard for all lines of business. They are not the only codes returned for all situations, other claim status codes are returned.	

## Section 2 - Enveloping

EDI envelopes control and track communications between you and Empire. One envelope may contain many transaction sets grouped into the following:

- Interchange Control Header (ISA)
- Functional Group Header (GS)
- Functional Group Trailer (GE)
- Interchange Control Trailer (IEA)

### 276 Health Care Claim Status Request—Envelope Specific to Empire (TR3, Appendix C)

ISA—Interchange Control Header		GS—Functional Group Header		GE—Functional Group Trailer		IEA—Interchange Control Trailer	
ISA01	00	GS01	HR	GE01	refer to TR3	IEA01	refer to TR3
ISA02	refer to TR3	GS02	SENDER ID	GE02	refer to TR3	IEA02	refer to TR3
ISA03	00	EDI assigned					
ISA04	refer to TR3	Left-justified followed by no zeroes or spaces					
ISA05	ZZ						
ISA06	SENDER ID						
EDI assigned		GS03	303				
Left-justified followed by spaces		GS04	refer to TR3				
		GS05	refer to TR3				
		GS06	refer to TR3				
ISA07	ZZ	GS07	X				
ISA08	303	GS08	005010X212				
Left-justified followed by spaces							
ISA09	refer to TR3						
ISA10	refer to TR3						
ISA11	^(5E)						
ISA12	00501						
ISA13	refer to TR3						
ISA14	refer to TR3						
ISA15	refer to TR3						
ISA16	:(3A)						

*\*Transactions must be submitted to the Plan for the state in which the services will be rendered. Transaction from providers not within our service areas must not be sent.*

### 277 Health Care Claim Status Response—Envelope Specific to Empire (TR3, Appendix C)

ISA—Interchange Control Header		GS—Functional Group Header		GE—Functional Group Trailer		IEA—Interchange Control Trailer	
ISA01	00	GS01	HN	GE01	refer to TR3	IEA01	refer to TR3
ISA02	10 spaces	GS02	303	GE02	refer to TR3	IEA02	refer to TR3
ISA03	00	GS03	RECEIVER ID				
ISA04	10 spaces	GS04	refer to TR3				
ISA05	ZZ	GS05	refer to TR3				
ISA06	303	GS06	refer to TR3				
ISA07	ZZ	GS07	X				
ISA08	RECEIVER ID	GS08	005010X212				
ISA09	refer to TR3						
ISA10	refer to TR3						
ISA11	^(5E)						
ISA12	00501						
ISA13	refer to TR3						
ISA14	0						
ISA15	refer to TR3						
ISA16	:(3A)						

## Section 3 - Charts for Situational Rules

Listed below are loops, segments, and data elements, that if submitted will greatly improve your chances of a successful response per our implementation of the situational rules in the 276/277 TR3.

276 Health Care Claim Status Request				
TR3	Segment	Reference Designator(s)	Value	Definitions and Notes Specific to Empire
P.36	ST Transaction Set Header	ST03 Implementation Convention Ref	005010X212	005010X212 - Health Care Claim Status Request
P.37	BHT Beginning of Hierarchical Transaction - Refer to TR3			
<b>Loop ID 2000A—Information Source Level</b>				
P.39	HL Information Source Level - Refer to TR3			
<b>Loop ID 2100A—Payer Name</b>				
P.41	NM1 Payer Name	NM103 Name Last or Organization Name	(Information Source Last or Org Name)	EMPIRE HEALTHCHOICE ASSURANCE
		NM108 ID Code Qualifier	PI	PI - Payor Identification
		NM109 Identification Code	303	303 - represents Empire as receiver
<b>Loop ID 2000B—Information Receiver Level</b>				
P.43	HL Information Receiver Level - Refer to TR3			
P.45	NM1 Information Receiver Name - Refer to TR3			
<b>Loop ID 2000C—Service Provider Level</b>				
P.47	HL Service Provider Level - Refer to TR3			
<b>Loop ID 2100C—Provider Name</b>				
P.49	NM1 Provider Name - Refer to TR3			
<b>Loop ID 2000D—Subscriber Level</b>				
P.52	HL Subscriber Level - Refer to TR3			
P.54	DMG Subscriber Demographic Information - Refer to TR3			
<b>Loop ID 2100D—Subscriber Name</b>				
P.66	NM1 Subscriber Name	NM108 ID Code Qualifier	MI	MI - Member Identification Number
		NM109 Identification Code	(Subscriber Identifier)	Identification number as it appears on the payers database.
		<b>Format Examples</b>		<b>Explanation</b>
		XXX##### XXXX##### XXX###X##### R##### J#####		Alphanumeric subscriber identification as it appears on the front of the ID card and must include the alpha prefix as submitted.
<b>Loop ID 2200D—Claim Status Tracking Number</b>				
P.58	TRN Claim Status Tracking Number - Refer to TR3			
P.59	REF Payer Claim Control Number - Refer to TR3			
P.60	REF Institutional Bill Type Identification - Refer to TR3			
P.61	REF Application or Location System Identifier - Refer to TR3			
P.62	REF Group Number - Refer to TR3			
P.63	REF Patient Control Number - Refer to TR3			
P.64	REF Pharmacy Prescription Number - Refer to TR3			
P.65	REF Claim ID Number for Clearinghouses and Other Transmission Intermediaries - Refer to TR3			
P.66	AMT Claim Submitted Charges - Refer to TR3			
P.67	DTP Claim Service Date - Refer to TR3			

276 Health Care Claim Status Request				
TR3	Segment	Reference Designator(s)	Value	Definitions and Notes Specific to Empire
<b>Loop ID 2220D—Service Line Information</b>				
P.69	SVC		Service Line Information - Refer to TR3	
P.73	REF		Service Line Item Identification - Refer to TR3	
P.74	DTP		Service Line Date - Refer to TR3	
<b>Loop ID 2000E—Dependent Level</b>				
P.75	HL		Dependent Level - Refer to TR3	
P.77	DMG		Dependent Demographic Information - Refer to TR3	
<b>Loop ID 2100E—Dependent Name</b>				
P.79	NM1		Dependent Name - Refer to TR3	
<b>Loop ID 2200E—Claim Status Tracking Number</b>				
P.81	TRN		Claim Status Tracking Number - Refer to TR3	
P.82	REF		Payer Claim Control Number - Refer to TR3	
P.83	REF		Institutional Bill Type Identification - Refer to TR3	
P.84	REF		Application or Location System Identifier - Refer to TR3	
P.85	REF		Group Number - Refer to TR3	
P.86	REF		Patient Control Number - Refer to TR3	
P.87	REF		Pharmacy Prescription Number - Refer to TR3	
P.88	REF		Claim ID Number for Clearinghouses and Other Transmission Intermediaries - Refer to TR3	
P.89	AMT		Claim Submitted Charges - Refer to TR3	
P.90	DTP		Claim Service Date - Refer to TR3	
<b>Loop ID 2220E—Service Line Information</b>				
P.92	SVC		Service Line Information - Refer to TR3	
P.96	REF		Service Line Item Identification - Refer to TR3	
P.97	DTP		Service Line Date - Refer to TR3	
P.98	SE		Transaction Set Trailer - Refer to TR3	



277 Health Care Claim Status Response				
TR3	Segment	Reference Designator(s)	Value	Definitions and Notes Specific to Empire
P.106	<b>ST</b> Transaction Set Header	<b>ST03</b> Implementation Convention Ref	<b>005010X212</b>	005010X212 - Health Care Claim Status Response
P.107	<b>BHT</b> <i>Beginning of Hierarchical Transaction - Refer to TR3</i>			
<b>Loop ID 2000A—Information Source Level</b>				
P.109	<b>HL</b> <i>Information Source Level - Refer to TR3</i>			
<b>Loop ID 2100A—Payer Name</b>				
P.111	<b>NM1</b> Payer Name	<b>NM108</b> ID Code Qualifier	<b>PI</b>	PI - Payor Identification
		<b>NM109</b> Identification Code	<b>303</b>	303 - represents Empire as sender
P.113	<b>PER</b> <i>Payer Contact Information - Refer to TR3</i>			
<b>Loop ID 2000B—Information Receiver Level</b>				
P.116	<b>HL</b> <i>Information Receiver Level - Refer to TR3</i>			
<b>Loop ID 2100B—Information Receiver Name</b>				
P.118	<b>NM1</b> <i>Information Receiver Name - Refer to TR3</i>			
<b>Loop ID 2200B—Information Receiver Trace Identifier</b>				
P.120	<b>TRN</b> <i>Information Receiver Trace Identifier - Refer to TR3</i>			
P.121	<b>STC</b> <i>Information Receiver Status Information - Refer to TR3</i>			
<b>Loop ID 2000C—Service Provider Level</b>				
P.124	<b>HL</b> <i>Service Provider Level - Refer to TR3</i>			
<b>Loop ID 2100C—Provider Name</b>				
P.126	<b>NM1</b> <i>Provider Name - Refer to TR3</i>			
<b>Loop ID 2200C—Provider of Service Trace Identifier</b>				
P.129	<b>TRN</b> <i>Provider of Service Trace Identifier - Refer to TR3</i>			
P.130	<b>STC</b> <i>Provider Status Information - Refer to TR3</i>			
<b>Loop ID 2000D—Subscriber Level</b>				
P.133	<b>HL</b> <i>Subscriber Level - Refer to TR3</i>			
<b>Loop ID 2100D—Subscriber Name</b>				
P.135	<b>NM1</b> <i>Subscriber Name - Refer to TR3</i>			
<b>Loop ID 2200D—Claim Status Tracking Number</b>				
P.137	<b>TRN</b> <i>Claim Status Tracking Number - Refer to TR3</i>			
P.138	<b>STC</b> <i>Claim Level Status Information - Refer to TR3</i>			
P.149	<b>REF</b> <i>Payer Claim Control Number - Refer to TR3</i>			
P.150	<b>REF</b> <i>Institutional Bill Type Identification - Refer to TR3</i>			
P.151	<b>REF</b> <i>Patient Control Number - Refer to TR3</i>			
P.152	<b>REF</b> <i>Pharmacy Prescription Number - Refer to TR3</i>			
P.153	<b>REF</b> <i>Voucher Identifier - Refer to TR3</i>			
P.154	<b>REF</b> <i>Claim ID Number for Clearinghouses and Other Transmission Intermediaries - Refer to TR3</i>			
P.155	<b>DTP</b> <i>Claim Service Date - Refer to TR3</i>			
<b>Loop ID 2220D—Service Line Information</b>				
P.157	<b>SVC</b> <i>Service Line Information - Refer to TR3</i>			
P.161	<b>STC</b> <i>Service Line Status Information - Refer to TR3</i>			
P.171	<b>REF</b> <i>Service Line Item Identification - Refer to TR3</i>			
P.172	<b>DTP</b> <i>Service Line Date - Refer to TR3</i>			

277 Health Care Claim Status Response				
TR3	Segment	Reference Designator(s)	Value	Definitions and Notes Specific to Empire
<b>Loop ID 2000E—Dependent Level</b>				
P.173	HL			<i>Dependent Level - Refer to TR3</i>
<b>Loop ID 2100E—Dependent Name</b>				
P.175	NM1			<i>Dependent Name - Refer to TR3</i>
<b>Loop ID 2200E—Claim Status Tracking Number</b>				
P.177	TRN			<i>Claim Status Tracking Number - Refer to TR3</i>
P.178	STC			<i>Claim Level Status Information - Refer to TR3</i>
P.189	REF			<i>Payer Claim Control Number - Refer to TR3</i>
P.190	REF			<i>Institutional Bill Type Identification - Refer to TR3</i>
P.191	REF			<i>Patient Control Number - Refer to TR3</i>
P.192	REF			<i>Pharmacy Prescription Number - Refer to TR3</i>
P.193	REF			<i>Voucher Identifier - Refer to TR3</i>
P.194	REF			<i>Claim ID Number for Clearinghouses and Other Transmission Intermediaries - Refer to TR3</i>
P.195	DTP			<i>Claim Service Date - Refer to TR3</i>
<b>Loop ID 2220E—Service Line Information</b>				
P.197	SVC			<i>Service Line Information - Refer to TR3</i>
P.201	STC			<i>Service Line Status Information - Refer to TR3</i>
P.211	REF			<i>Service Line Item Identification - Refer to TR3</i>
P.212	DTP			<i>Service Line Date - Refer to TR3</i>
P.213	SE			<i>Transaction Set Trailer - Refer to TR3</i>